Brussels, 22 May 2018

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MEETING DOCUMENT

From: ERAC Secretariat
To: ERAC (European Research Area and Innovation Committee)
Subject: PowerPoint presentation: Review of ERA Advisory Structure 2018

Delegations will find attached the PowerPoint presentation "Review of ERA Advisory Structure 2018" given under item 4.1 of the ERAC plenary agenda of 17/05/2018.
Review of ERA Advisory Structure 2018

Philipp Langer / Lisa Müller (CH)
Kari Balke Øiseth (NO)

17 May 2018, 38th ERAC Plenary Meeting, Brussels

Outline of Work and Milestones

<table>
<thead>
<tr>
<th>Activities</th>
<th>Part 1</th>
<th>Part 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Documentary analysis</td>
<td><strong>Survey</strong></td>
<td></td>
</tr>
<tr>
<td>• Evaluation of ERA-related groups and their work based on various documents</td>
<td>• Online survey of ERAC delegations (incl. EC), EU Presidencies, ERA-related groups, ERA-stakeholders</td>
<td></td>
</tr>
<tr>
<td>• Self-assessment from Chairs</td>
<td>• Preparatory exchanges with different actors</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Time frame</th>
<th>March – June</th>
<th>May – July</th>
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</table>

| Milestones | |
|------------| |
| 20 April: deadline to send self-assessments | 4 – 29 June: online survey open |
| 17 May: progress report in ERAC Plenary | |
| 3 August: draft final report distributed to ERAC | |
| 24 August: deadline for feedback to final report | |
Part 1: Documentary Analysis

- Request to provide documents sent out to all ERA-related groups on 19 March → documents received by end of March
- Division of groups within Rapporteur team:
  - CH = ERAC, ESFRI, SWG HRM, SWG OSI
  - NO = GPC, SWG GRI, SFIC
- Assessment by means of codebook with 4 main dimensions:
  1. Scope of the group
  2. Organisation and working conditions
  3. Output
  4. Impact
- State of play: Documentary analysis completed
Part 1: Self-assessment Reports

- Template for group’s self-assessment reports, with questions along the same dimensions

- Idea: Short reports (max. 1’000 words)

- Template sent out to Chairs on 3 April, deadline for reply = 20 April.

- 5 reports submitted so far.

Part 1: Findings (1)

- Preliminary summary of findings:
  - General issues concerning all groups
  - Specific issues for each group

- Findings to be validated through survey (Part 2)!
Part 1: Findings (2)

General issues:

- Coverage of ERA Priority in mandates
- Need to clarify advisory role
- Level of representation, expertise and commitment of country delegates
- Monitoring
- Collaboration with other groups
- Transparency and visibility of ERA Adv. Structure

Part 1: Findings (3)

Group-specific issues:

- **ERAC**: Superordinate role <> responsibility for ERA Priority 1?
- **GPC**: JPI <> JPP?
- **ESFRI**: Implications of revised procedures?
- **SWG HRM**: Working dynamic after transfer?
- **SWG GRI**: Impact of the transfer and role of EC?
- **SWG OSI**: Gaps ERA Priority <> mandate <> activities
- **SFIC**: Unclear link ERA Priority <> mandate, relationship with EC
Part 2: Basic Principles (1)

- **Exchanges** with various actors *in preparation* of survey:
  - ERAC (SB and Plenary)
  - EC
  - ERA Stakeholders

- Only **result of survey responses** in final report

- Draft of questionnaire ready
  → **Presentation and discussion today**
Part 2: Basic Principles (2)

- **Survey** (*adaptive LimeSurvey*) **addressed** to:
  - ERAC delegations (incl. Co-Chairs?)
  - European Commission
  - All other 6 ERA-related Groups (Chair)
  - ERA stakeholders
  - EU Council Presidencies

- **One reply** per ERAC delegation / EC / ERA-related group / ERA stakeholder / EU council presidency (*categorised*)

- Mostly closed-ended questions (0-100 scale), open-ended questions where necessary

- Survey open: **4 – 29 June 2018** (no extension)

Part 2: Today’s Discussion

- Agreement with **basic outline of questionnaire**?
- Reasonable **length**?
- Major elements **missing**?
- Any **no-go’s**?

→ **No detailed discussion** of individual questions

→ Possibility to provide **concise and short feedback** with concrete suggestions by **23 May**
Part 2: Basic Outline of Questionnaire

A. Questions for each Group:
   • ERAC
   • GPC
   • ESFRI
   • SWG HRM
   • SWG GRI
   • SWG OSI
   • SFIC

B. General Questions on ERA Governance

Part 2: Outline of Questionnaire (1)

A. Questions for each Group:
   A1 Common questions
   A2 Group-specific questions

B. General Questions on ERA Governance
   B.1 Questions on overall ERA structure & preferences for future developments of ERA
### Part 2: Outline of Questionnaire (2)

A. Questions for each Group:

A.1 Common questions

A.2 Group-specific questions

**B. General Questions on ERA Governance**

B.1 Questions on overall ERA structure & preferences for future developments of ERA

### 1. Common questions for each group

(Along the same dimensions as Part 1)

<table>
<thead>
<tr>
<th>1. Scope of the group</th>
<th>3. Output</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Correlation mandate &lt; &gt; ERA priority</td>
<td>• Satisfaction with number of publications</td>
</tr>
<tr>
<td>• Correlation mandate &lt; &gt; work</td>
<td>• Quality of publications (practical value, comprehensibility…)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2. Organisation and working conditions</th>
<th>4. Impact</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Engagement/commitment of the Chair and Steering Board</td>
<td>• Relevance of output</td>
</tr>
<tr>
<td>• Relation with the EC</td>
<td>• Uptake of recommendations at national / EU / stakeholder level</td>
</tr>
<tr>
<td>• Overlap with EC expert groups</td>
<td>• Importance for Presidency priorities</td>
</tr>
<tr>
<td>• Level and commitment of country delegates</td>
<td></td>
</tr>
<tr>
<td>• Active participation of country delegates</td>
<td></td>
</tr>
<tr>
<td>• Transparency of decision-making</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>5. General assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>• What to improve?</td>
</tr>
<tr>
<td>• Continuation of group</td>
</tr>
</tbody>
</table>
Part 2: Outline of Questionnaire (3)

A. Questions for each Group:

A1 Common questions

A2 Group-specific questions

B. General Questions on ERA Governance

B.1 Questions on overall ERA structure & preferences for future developments of ERA

Part 1: Findings (3)

Group-specific issues:

• **ERAC**: Superordinate role <> responsibility for ERA Priority 1?

• **GPC**: JPI <> JPP?

• **ESFRI**: Implications of revised procedures?

• **SWG HRM**: Working dynamic after transfer?

• **SWG GRI**: Impact of the transfer and role of EC?

• **SWG OSI**: Gaps ERA Priority <> mandate <> activities

• **SFIC**: Unclear link ERA Priority <> mandate, relationship with EC
Part 2: Outline of Questionnaire (4)

A. Questions for each Group:

A1 Common questions along the dimensions of Part 1 of the review

A2 Group-specific questions

B. General Questions on ERA Governance

B.1 Questions on overall ERA structure & preferences for future developments of ERA

Part 2: Outline of Questionnaire (5)

3. Overall ERA structure & future developments

<table>
<thead>
<tr>
<th>1. Scope of the ERA advisory structure</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Progress in achieving the objectives of the ERA Priorities</td>
</tr>
<tr>
<td>• Number of current ERA-related groups</td>
</tr>
<tr>
<td>• ERA Monitoring System</td>
</tr>
<tr>
<td>• New EC ERA Communication</td>
</tr>
<tr>
<td>• Future directions of the ERA</td>
</tr>
<tr>
<td>• Link ERA and FPs</td>
</tr>
<tr>
<td>• Link ERA and EHEA</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2. Organisation of the ERA advisory structure</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Role of ERAC in the ERA structure</td>
</tr>
<tr>
<td>• Coordination through ERAC Steering Board</td>
</tr>
<tr>
<td>• Relations between ERA-related groups (collaboration and overlaps)</td>
</tr>
<tr>
<td>• Ad-hoc groups &lt;&gt; standing working groups</td>
</tr>
</tbody>
</table>
Details (illustration purposes)

2. QUESTIONNAIRE

2.1 Individual assessment of each of the seven ERA-related groups

2.1.1 Generic questions for all groups

<table>
<thead>
<tr>
<th>Topic</th>
<th>Question</th>
<th>Response scale</th>
<th>Respondents</th>
</tr>
</thead>
<tbody>
<tr>
<td>3. Scope of the group: To what degree are the mandate and activities of the group in line with the ERA Priority they are responsible for?</td>
<td>Q: Do you think that the group's mandate covers its respective ERA priority sufficiently well and clearly? (Reported mandate in questionnaire or at least similar)</td>
<td>0-100</td>
<td>ERAC (Ind. EC), Stakeholders, Presidents, ERA-related group concerned</td>
</tr>
<tr>
<td>Correlating mandate &lt; ERA priority</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Correlating mandate &lt; work</td>
<td>Q: To what degree would you say that the group's work and activities were in line with their mandate? (If applicable, add question asking about missing elements of mandate in WP to validate such a finding from documentary analysis)</td>
<td>0-100</td>
<td>ERAC (Ind. EC), Stakeholders, Presidents, ERA-related group concerned</td>
</tr>
</tbody>
</table>

2.2. Organisation and working conditions: Assess organisational aspects, such as the group's composition and whether it is active and well integrated into the ERA advisory structure

| Engagement/commitment of the Chair and Steering Board | Q: Do you think that the Chair and Steering Board do enough to ensure that the group can work efficiently? (Maybe non-separate scales for Chair and Steering Board) | 0-100 | ERAC (Ind. EC), ERA-related group concerned |

Scope 1: Assessment of the outputs and results of the different ERA-related groups in the light of the objectives of the ERA priorities

Scope 2: Review of the mandates of all ERA-related groups within the remit expressed by Director Generals at ERAC

Scope 3: Assessment of how far the suite of formal ERA-related groups as a whole covers the required research and innovation grounds in an appropriate manner; in this context, it is crucial to identify what still needs to be done in order to achieve the objectives of the ERA priorities

Scope 4: Appraisal of the current number and structure of the ERA-related groups

Scope 5: Recommendations on how to improve the efficiency and effectiveness of the implementation of the ERA priorities by adjusted governance tools, including at the interface to the implementation of the national ERA roadmaps

Scope 6: Suggestions for further improving the ERA monitoring and reporting system

Scope 7: Proposals on optimising the management of ERA governance in terms of the interaction within, between and beyond the ERA Steering Board, the ERA plenary, the other ERA-related groups, other advisory bodies outside ERA but inside the knowledge triangle, the informal "Research Policy Group", the Commission services, the ERA partnership organisations, the Council, the Council Presidencies, and the national level

Scope 8: Recommendations on how to increase the impact of the work of the ERA-related groups beyond 2020

From | What | Check | Where / comments |
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2015 ERAC Opinion</td>
<td>Which formal ERA-related Groups should continue</td>
<td>✓</td>
<td>Individual group questions (section 5)</td>
</tr>
<tr>
<td></td>
<td>Which formal ERA-related Groups require modification of their mandate</td>
<td>✓</td>
<td>Individual group questions (sections 1, 5)</td>
</tr>
<tr>
<td></td>
<td>Which formal ERA-related Groups should be discontinued, and if there is any residual work how that will be dealt with</td>
<td>✓</td>
<td>Individual group questions (section 5)</td>
</tr>
<tr>
<td></td>
<td>Whether any new formal ERA-related Groups should be established, and if so the proposed mission and timescale</td>
<td>✓</td>
<td>Cross-cutting questions (section 1)</td>
</tr>
<tr>
<td></td>
<td>Which formal ERA-related Groups should be designated as configuration of ERAC, seeking Council approval as required</td>
<td>✓</td>
<td>Not to be covered according to informal discussions with Secretariat.</td>
</tr>
<tr>
<td>TuR</td>
<td>Scope 1: Assessment of the outputs and results of the different ERA-related groups in the light of the objectives of the ERA priorities</td>
<td>✓</td>
<td>Individual group questions (sections 1, 3, 4)</td>
</tr>
<tr>
<td></td>
<td>Scope 2: Review of the mandates of all ERA-related groups within the remit expressed by Director Generals at ERAC</td>
<td>✓</td>
<td>Individual group questions (sections 1, 5)</td>
</tr>
<tr>
<td></td>
<td>Scope 3: Assessment of how far the suite of formal ERA-related groups as a whole covers the required research and innovation grounds in an appropriate manner; in this context, it is crucial to identify what still needs to be done in order to achieve the objectives of the ERA priorities</td>
<td>✓</td>
<td>Cross-cutting questions (section 1)</td>
</tr>
<tr>
<td></td>
<td>Scope 4: Appraisal of the current number and structure of the ERA-related groups</td>
<td>✓</td>
<td>Individual group question (section 5) and cross-cutting questions (sections 1, 2)</td>
</tr>
<tr>
<td></td>
<td>Scope 5: Recommendations on how to improve the efficiency and effectiveness of the implementation of the ERA priorities by adjusted governance tools, including at the interface to the implementation of the national ERA roadmaps</td>
<td>✓</td>
<td>Cross-cutting questions (section 1)</td>
</tr>
<tr>
<td></td>
<td>Scope 6: Suggestions for further improving the ERA monitoring and reporting system</td>
<td>✓</td>
<td>Cross-cutting questions (section 1)</td>
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<tr>
<td></td>
<td>Scope 7: Proposals on optimising the management of ERA governance in terms of the interaction within, between and beyond the ERA Steering Board, the ERA plenary, the other ERA-related groups, other advisory bodies outside ERA but inside the knowledge triangle, the informal &quot;Research Policy Group&quot;, the Commission services, the ERA partnership organisations, the Council, the Council Presidencies, and the national level</td>
<td>✓</td>
<td>Partly covered by cross-cutting questions (section 2)</td>
</tr>
<tr>
<td></td>
<td>Scope 8: Recommendations on how to increase the impact of the work of the ERA-related groups beyond 2020</td>
<td>✓</td>
<td>Partly covered by individual group questions (section 5)</td>
</tr>
</tbody>
</table>
Final Report: Outline

- **Main review (in a few pages):**
  1. Conclusions: Summary of main findings
  2. Recommendations: Modification of mandates, (dis)continuation of groups, creation of new groups

- **Annex (detailed report):**
  1. Description of methodology and procedures
  2. Detailed findings and conclusions from Part 1 and Part 2 for each group

Dates (as adopted at ERAC Plenary)

- **4 – 29 June:**
  Online survey open

- **3 August:**
  Circulation of draft final report to ERAC

- **31 August:**
  Deadline for written feedback on draft final report

- **10 September:**
  Distribution of draft final report for ERAC Plenary

- **17 September:**
  Presentation, discussion and adoption of final report in ERAC plenary
Discussion: Your Input for Group-Specific Questions?

- ERAC: Superordinate role <> responsibility for ERA Priority 1?
- GPC: JPI <> JPP?
- ESFRI: Implications of revised procedures?
- SWG HRM: Working dynamic after transfer?
- SWG GRI: Impact of the transfer and role of EC?
- SWG OSI: Gaps ERA Priority <> mandate <> activities
- SFIC: Unclear link ERA Priority <> mandate, relationship with EC

Your Input on the Questionnaire? Timing

- Agreement with basic outline of questionnaire?
- Reasonable length? (some 120 questions!)
- Major elements missing?
- Any no-go’s?

Timing Adopted at ERAC Plenary:

- Deadline for written feedback on draft report: By 31 August 2018
  (Extended deadline: 5 Sept. in single cases)
- Distribution of draft report to ERAC Delegations: 10 September 2018
Thank you!

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